Global Passivity Enforcement Algorithm for Macromodels of Interconnect Subnetworks Characterized by Tabulated Data

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Abstract—With the continually increasing operating frequencies, complex high-speed interconnect and package modules require characterization based on measured/simulated data. Several algorithms were recently suggested for macromodeling such types of data to enable unified transient analysis in the presence of external network elements. One of the critical issues involved here is the passivity violations associated with the computed macromodel. To address this issue, a new passivity enforcement algorithm is presented in this paper. The proposed method adopts a global approach for passivity enforcement by ensuring that the passivity correction at a certain region does not introduce new passivity violations at other parts of the frequency spectrum. It also provides an error estimate for the response of the passivity corrected macromodel.

Index Terms—High-speed interconnects, measured subnetworks, passive macromodels, positive real system, signal integrity, system identification, tabulated data.

I. INTRODUCTION

CHARACTERIZATION and simulation of linear subnetworks based on tabulated data has become a topic of intense research during the recent years [1]–[21]. The tabulated data can be obtained either directly from measurements or from rigorous full-wave electromagnetic simulations. Important applications of such a characterization include high-speed interconnects, packages, vias, nonuniform transmission lines, on-chip passive components, and high-frequency microwave devices. Transient simulation of such frequency-dependent tabulated data in the presence of nonlinear devices is a CPU-intensive process due to the mixed frequency–time problem. This can be addressed by approximating the tabulated data by rational functions and subsequently synthesizing a SPICE-compatible macromodel/netlist from such an approximation. However, the primary challenge here is to ensure the passivity of the macromodel. Passivity is an important property [22]–[25], because stable but nonpassive models may lead to unstable systems when connected to other passive components.

Several macromodeling and passivity preservation algorithms for tabulated data can be found in the literature [1]–[16]. Algorithms such as the ones based on convex optimization [15] can guarantee the passivity of the macromodel. However, they can be CPU intensive (since the associated computational complexity is in the range of $n^5$ to $n^6$, where $n$ is the order of the state–space matrix) and may not be practically feasible. On the other hand, approaches such as the ones in [1]–[10] are computationally fast. However, they may not strictly guarantee the macromodel passivity. Hence, for such class of algorithms it becomes essential to verify the macromodel passivity and correct for any passivity violations.

In case of any passivity violations, several algorithms to enforce passivity were recently proposed [17]–[21]. These algorithms take a local approach for passivity enforcement by attempting to correct passivity violation at a particular region, without concerning the rest of the frequency spectrum.

One of the major limitations of these algorithms is that any attempt for passivity correction at some frequency point may lead to new passivity violation at other frequency points. In order to address this problem, a global passivity enforcing algorithm is presented in this paper. The new algorithm employs a guaranteed search direction for enforcing passivity, such that the correction for passivity at a certain frequency region does not introduce new regions of passivity violation.

The remainder of the paper is organized as follows. Sections II and III present the problem formulation and discuss passivity verification algorithms, respectively. Section IV describes the proposed passivity enforcement algorithm. Sections V and VI present computational results and conclusions, respectively.

II. PROBLEM FORMULATION

The tabulated data can be multi-port scattering (S), admittance (Y), impedance (Z), transmission (T), or hybrid (H) parameters. Without loss of generality, in this paper it is assumed that the frequency-domain $Y$ parameter data is given. The admittance matrix of a $m$-port subnetwork can be written in terms of a pole-residue formulation as

$$Y(s) = \sum_{i,j=1}^{N} \frac{I_{ij}}{s-P_{ij}}; \quad (i,j \in 1\ldots m)$$

(1)

where the residues ($I_{ij}$) and poles ($P_{ij}$) can be real or complex conjugate pairs, $N$ is the total number of poles, and $C$ represents the direct coupling constant. Next, the state–space representation for (1) can be obtained as [26]–[28]

$$\dot{x}(t) = Ax(t) + Bu(t)$$

$$y(t) = Cx(t) + Du(t).$$

(2)
Here, $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times m}$, $C \in \mathbb{R}^{m \times n}$, $D \in \mathbb{R}^{m \times m}$, $u(t) \in \mathbb{R}^n$, $y(t) \in \mathbb{R}^m$, and $n$ is the total number of state variables. It is to be noted that the poles of the system are contained in matrix $A$ and residues in matrix $C$ (referred to as the residue matrix). It should be noted that $D$ contains dc coupling terms, which may not necessarily be present in all networks. The transfer function of the system relating the input $u(t)$ to the output $y(t)$ can be obtained as

$$Y(s) = C(sI - A)^{-1}B + D.$$  

(3)

Several methods have been suggested in the literature, which start with the tabulated data to obtain the macromodel of (2) and (3) via rational function approximation. While these methods may generate accurate approximations, the passivity of the resulting macromodel is not guaranteed. However, as mentioned in the introduction, the loss of passivity can be a serious problem because transient simulations of a stable but nonpassive macromodel may encounter artificial oscillations.

On the other hand, a passive macromodel, when terminated with any arbitrary passive load, always guarantees the stability of the overall resulting network. To illustrate this point, consider a simple, single-port second-order macromodel shown in Fig. 1(a). The macromodel is stable but not passive. When this macromodel is terminated with the passive load [Fig. 1(b)], the overall network ends up having unstable poles.

Therefore, the challenge here is to ensure passivity of the multiport macromodel. The conditions for a network with admittance matrix $Y(s)$ to be passive are [29]–[31]

a) $Y(s^*) = Y^*(s)$, where $^*$ is the complex conjugate operator.

b) $Y(s)$ is a positive real (PR) matrix, i.e., the product $Z^*[Y^*(s^*) + Y(s)]Z \geq 0$ for all complex values of $s$ with $Re(s) > 0$ and any arbitrary vector $Z$.

Condition a) is automatically satisfied since the complex poles/residues of the transfer function are always considered along with their conjugates, leading to only real coefficients in rational functions of $Y(s)$. However, ensuring condition b) is not easy. For the practical case of networks with symmetric admittance matrices, condition b) can also be expressed using (3) as

$$\text{Real}(Y(j\omega)) = F(j\omega) = -CA(\omega^2I + A^2)^{-1}B + D \geq 0, \text{ for } \omega \in \mathbb{R} \cup \mathbb{C}.$$  

(4)

Equation (4) also implies that all the eigenvalues of $F(j\omega)$ must be greater than zero for $\omega \in \mathbb{R} \cup \mathbb{C}$ [30]. Ensuring (4) could be a challenging task for macromodels obtained from tabulated data. Straightforward application of passivity constraints can lead to a nonlinear optimization problem. Recent approaches use CPU-efficient formulation by enforcing passivity conditions for a certain frequency region or on only given (specific) data points or through some linearized passivity constraints [1]–[10]. Although these algorithms are computationally fast, they do not guarantee the macromodel passivity. Hence, passivity verification and correction becomes crucial for guaranteeing the stability of transient simulations involving such macromodels and the rest of the network. The next section discusses the macromodel passivity verification.

### III. PASSIVITY VERIFICATION

The traditional method for macromodel passivity verification is based on a frequency sweep of eigenvalues of the real part of the admittance matrix (Real($Y(j\omega)$)). However, this approach suffers from several drawbacks, such as up to what frequency to sweep and how fine the sweep should be. In addition, it fails to identify the exact locations of violation (which are vital for a successful compensation). In order to address these issues, the following two theorems are used, which enable systematic passivity verification without resorting to frequency-sweep.

**Theorem 1:** The state–space system $(A, B, C, D)$ is passive if the following Hamiltonian matrix $(M)$ [32] has no imaginary eigenvalues:

$$M = \begin{bmatrix} A - B(D + D^t)^{-1}C & B(D + D^t)^{-1}B^t \\ -C^t(D + D^t)^{-1}C & -A^t + C^t(D + D^t)^{-1}B^t \end{bmatrix}.$$  

(5)
The advantage of this theorem is that the formulation of the Hamiltonian matrix is independent of frequency. Hence, if no imaginary eigenvalues are found, it automatically implies that the macromodel is passive. However, using the traditional method of frequency-sweep of eigenvalues of \( \mathbf{F}(j\omega) \) (defined in (4)) would have required the sweep from 0 to \( \infty \) to detect if the eigenvalues are negative at any frequency. In addition, while using the traditional method, there would be no guarantee of detecting such cases, as it depends on the fineness of the sweep (interval between frequency points during the sweep).

If the macromodel is nonpassive, it needs to be corrected (compensated for passivity violation). For a successful and fast compensation, it is essential to know the exact frequency locations at which eigenvalues of \( \mathbf{F}(j\omega) \) cross over from a positive value to a negative value. For this purpose, the following theorem [19]–[21], [33] is used.

**Theorem 2:** The real part of the symmetric admittance matrix \( \mathbf{F}(j\omega) \) is singular if \( j\omega_0 \) is an eigenvalue of the corresponding Hamiltonian matrix \( \mathbf{M} \), provided \( \mathbf{D} + \mathbf{D}^T \) is a positive definite matrix.

Theorem 2 implies that an imaginary eigenvalue of the Hamiltonian matrix \( \mathbf{M} \) corresponds to the frequency at which \( \mathbf{F}(j\omega) \) becomes singular (i.e., the macromodel becomes nonpassive). This information of exact locations where an eigenvalue of \( \mathbf{F}(j\omega) \) becomes zero is very crucial as its knowledge helps the passivity compensation process. The proof of Theorem 2 can be found in [7], and a proof of its corollary is given in the Appendix. The next section describes a new passivity compensation (correction) algorithm for macromodels having passivity violations. It is assumed that the macromodel is asymptotically passive at \( \omega = \infty \) (i.e., \( \mathbf{D} + \mathbf{D}^T > 0 \)), which can be easily ensured using algorithms such as [17].

**IV. PASSIVITY ENFORCEMENT**

As discussed in the introduction, several algorithms [17]–[21] were recently proposed to enforce passivity in macromodels with small violations. However, these algorithms suffer from the limitation that the passivity violation may be introduced at other frequency points while performing correction in a certain frequency region. In order to overcome this difficulty, a new algorithm is presented in this section. This algorithm employs a guaranteed search direction for enforcing passivity, such that the correction for passivity in a certain frequency region does not introduce new regions of passivity violation. The proposed method performs compensation by refining only few selected elements of the residue matrix \( \mathbf{C} \) of the state–space system. These elements are selected from the real part of residues of diagonal elements of \( \mathbf{Y}(s) \), corresponding to the poles in the vicinity of passivity violation. The proposed passivity enforcement algorithm consists of the following three steps:

1) determination of passivity violation regions;
2) determination of the magnitude of the maximum violation in a given nonpassive region;
3) performance of passivity correction by perturbing the selected residues.

The details of these steps are given in the following subsections.

**A. Determination of Passivity Violation Regions**

It is known from Theorem 2 that the imaginary eigenvalues of the Hamiltonian matrix \( \mathbf{M} \) of (5) correspond to the frequency locations where the Real(\( \mathbf{Y}(j\omega) \)) = \( \mathbf{F}(j\omega) \) becomes singular. However, this information does not tell us anything about the regions of passivity violation (i.e., the frequency bandwidth in which an eigenvalue of \( \mathbf{F}(j\omega) \) is negative). In order to determine the regions of passivity violation, we determine the slope of eigenvalues of \( \mathbf{F}(j\omega) \) at its singular locations. This is done as follows.

If \( \lambda \) is an eigenvalue of \( \mathbf{F}(j\omega) \) and \( \mathbf{u} \) the corresponding right eigenvector, then we have

\[
(\mathbf{F}(j\omega) - \lambda \mathbf{I})\mathbf{u} = 0. \tag{6}
\]

Differentiating this equation with respect to the angular frequency \( \omega \)

\[
\left( \frac{d}{d\omega} \mathbf{F}(j\omega) - \lambda \right) \mathbf{u} + (\mathbf{F}(j\omega) - \lambda \mathbf{I}) \frac{d\mathbf{u}}{d\omega} = 0. \tag{7}
\]

Next, multiplying (7) by the left eigenvector \( (\mathbf{v}^T) \) of \( \mathbf{F}(j\omega) \)

\[
\mathbf{v}^T \frac{d}{d\omega} \mathbf{F}(j\omega) \mathbf{u} - \mathbf{v}^T \frac{d}{d\omega} (\lambda) \mathbf{u} + \mathbf{v}^T (\mathbf{F}(j\omega) - \lambda \mathbf{I}) \frac{d\mathbf{u}}{d\omega} = 0. \tag{8}
\]

Notice that the last term on the left-hand side of (8) is zero by the definition of left eigenvector. Using this fact, (8) can be rewritten as

\[
\mathbf{v}^T \frac{d}{d\omega} (\lambda) \mathbf{u} = \mathbf{v}^T \frac{d}{d\omega} \mathbf{F}(j\omega) \mathbf{u} \tag{9}
\]
or

\[
\frac{d\lambda}{d\omega} = \frac{\mathbf{v}^T \frac{d}{d\omega} \mathbf{F}(j\omega) \mathbf{u}}{\mathbf{v}^T \mathbf{u}}. \tag{10}
\]

Next, the derivative of \( \mathbf{F}(j\omega) \) with respect to \( \omega \) can be obtained using (4) as

\[
\frac{d}{d\omega} \mathbf{F}(j\omega) = \mathbf{C} \mathbf{A}(\omega^2 \mathbf{I} + \mathbf{A}^2)^{-2}\mathbf{A} \mathbf{B}. \tag{11}
\]

Substituting (11) into (10), we get

\[
\frac{d\lambda}{d\omega} = \frac{\mathbf{v}^T \left( \mathbf{C} \mathbf{A}(\omega^2 \mathbf{I} + \mathbf{A}^2)^{-2}\mathbf{A} \mathbf{B} \right) \mathbf{u}}{\mathbf{v}^T \mathbf{u}}. \tag{12}
\]

Using (12), we can determine the slope of eigenvalues of \( \mathbf{F}(j\omega) \) at its singular frequencies. The regions and bandwidth of local passivity violation are then determined using the following steps.

1) Collect the pure imaginary eigenvalues (consider only those with positive imaginary parts) of the Hamiltonian matrix \( \mathbf{M} \) of (5) in a vector \( \mathbf{S}_0 = [\omega_1, \omega_2, \ldots, \omega_T] \) such that \( \omega_1 < \omega_2 < \ldots < \omega_T \), where “T” is the total number of such entries. Let \( \omega_H = \omega_T \).
It should be noted that finding the imaginary eigenvalue may not be a trivial task, since the real part of the eigenvalue may not be equal to zero, owing to the presence of numerical noise. In our implementation, this difficulty is overcome by using the property of the Hamiltonian matrix, that is, its eigenvalue spectrum is symmetric with reference to both the real as well as the imaginary axis. This implies that if $\lambda$ is a complex eigenvalue of a given Hamiltonian matrix, then $-\lambda$, $\lambda^*$, and $-\lambda^*$ are also its eigenvalues. On the other hand, the imaginary eigenvalues are symmetric only with respect to the real axis (i.e., if $\lambda$ is an imaginary eigenvalue of a given Hamiltonian matrix, then $-\lambda$ is also its eigenvalue). As a consequence of this property, while determining if an eigenvalue is imaginary or not, we check for the eigenvalues, which are symmetric only about the real axis. As a result, the effect of numerical noise is taken care of while identifying the imaginary eigenvalues of the Hamiltonian matrix.

2) Next, at the frequency corresponding to each of the above entries, evaluate the slope of the eigenvalue of $\mathbf{F}(j\omega)$ using (12). Note that the slope at $\omega_T$ is always positive since $\mathbf{D} + \mathbf{D}' > 0$.  

3) Count the number of positive and negative slopes starting from $\omega_H$. When the count of positive and negative slopes become equal, say at $\omega_k$, then the first region of local passivity violation is established (i.e., the region between $\omega_H$ to $\omega_k$).

4) Reset the count of slope to zero and designate $\omega_H = \omega_{k-1}$ and repeat Steps 3 and 4 until all entries in the vector $\mathbf{S}_a$ are exhausted.

These steps are illustrated in Fig. 2(a), for the case of Hamiltonian matrix having six pairs of imaginary eigenvalues.

The situation when the passivity violation starts at zero frequency is illustrated with an example in Fig. 2(b). As seen in this example, when the counting of slopes is restarted after determining the second region of violation, the number of positive slopes becomes one and the number of negative slopes is equal to zero, at the end of counting the slopes. In such cases, a region of violation exists between the first frequency point from where the counting of slope is restarted (in this case, $\omega_3$) and zero Hertz (origin).

The proposed passivity enforcement algorithm assumes that the imaginary eigenvalues of the Hamiltonian matrix are simple. However, it can be easily extended to the case of Hamiltonian matrices with repeated imaginary eigenvalues using the well-established approach outlined in [20].

B. Determination of Magnitude of the Maximum Violation in a Nonpassive Region

In this step, the exact location of maximum passivity violation (i.e., the maximum negative eigenvalue of $\mathbf{F}(j\omega)$) is determined in each region of passivity violation (these locations are corrected first during the compensation process). These locations are found by solving the following problem in each region of passivity violation:

$$\min_{\omega} \text{eig}(\text{Real}(Y(j\omega))) \quad \omega \in \omega_l, \omega_h$$  \hspace{1cm} (13)

where $\omega_l$ and $\omega_h$ are the boundaries of a passivity violating region. For instance, in the first region of violation in Fig. 2(a), $\omega_l = \omega_3$ and $\omega_h = \omega_5$. The problem in (13) converges very fast as it is associated with only one variable ($\omega$) and has a good initial guess (midpoint of $\omega_l$ and $\omega_h$).

C. Passivity Compensation

With the regions of passivity violation as well as location and magnitude of maximum violations in each such region known, the passivity correction (compensation) is performed as follows. Consider the real part of the admittance matrix, given by (4). If the macromodel is nonpassive (i.e., $\mathbf{F}(j\omega)$ is negative definite), we perturb the residue matrix $\mathbf{C}$ by $\Delta \mathbf{C}$ (keeping matrices $\mathbf{A}$, $\mathbf{B}$, and $\mathbf{D}$ unchanged) so that

$$\mathbf{F}(j\omega) + \Delta \mathbf{F}(j\omega) = -(\mathbf{C} + \Delta \mathbf{C})\mathbf{A}(\omega^2 \mathbf{I} + \mathbf{A}^2)^{-1}\mathbf{B} + \mathbf{D} \geq 0$$  \hspace{1cm} (14)

at the frequency point of maximum violation in a nonpassive region under consideration. For example, let $-\Delta \lambda$ represent the eigenvalue of $\mathbf{F}(j\omega)$ at a frequency point of
maximum passivity violation. To compensate this, we add \( \Delta F(j\omega) = -\Delta CA(\omega^2 I + A^2)^{-1}B \) to \( F(j\omega) \) by slightly perturbing the matrix \( C \) such that \[ (15) \]

\[
\Delta \lambda = \frac{y^T \Delta F(j\omega)x}{y^T x} = -\frac{y^T \Delta CA(\omega^2 I + A^2)^{-1}Bx}{y^T x}
\]

where \( y \) and \( x \) are the left and right eigenvectors of \( F(j\omega) \). After some algebraic manipulations, (15) can be converted into a set of linear equations in the form

\[
\Delta \lambda = \Theta Q
\]

where the unknown perturbed values of selected residues are contained in the vector \( Q \). Next, details on the selection of appropriate residues for perturbation to achieve the desired correction is given.

1) Selection of Appropriate Residues for Perturbation: In the proposed algorithm, the perturbation is effected on real part of residues of driving point admittances corresponding to the poles in the vicinity of passivity violation. Identification of such poles is done by determining the contribution of each pole to the real part of diagonal elements of the admittance matrix in the frequency region of violation. This is done by integrating the square of the real part of the response (represented by \( R(\omega) \)) of every pole for a passivity violating region under consideration (with boundaries \( \omega_1 \) and \( \omega_2 \)), as follows:

\[
\omega_2 \int_{\omega_1}^{\omega_2} (R(\omega))^2 d\omega.
\]

(17)

The poles with the significant contribution are selected, and their residues corresponding to the driving point admittances are perturbed during passivity compensation process. Next, an appropriate mapping of such residues to the residue matrix \( C \) (for formulating \( \Delta C \) and \( Q \) of (14) and (16), respectively) is illustrated using the following example.

Consider a two-port network with two poles \( p_1 = w + jz \) and \( p_2 = w - jz \). Let the corresponding residues at different ports be \( c_{k,l} = (r \pm jg)_{k,l} ; k,l = 1,2 \). The state-space realization [26]–[28] for the network can be expressed as

\[
\begin{bmatrix}
\dot{x}_1 \\
\dot{x}_2 \\
\dot{x}_3 \\
\dot{x}_4
\end{bmatrix} = \begin{bmatrix}
w & 0 & z & 0 \\
0 & w & 0 & z \\
-z & 0 & w & 0 \\
0 & -z & 0 & w
\end{bmatrix} \begin{bmatrix}
x_1 \\
x_2 \\
x_3 \\
x_4
\end{bmatrix} + \begin{bmatrix}
2 & 0 \\
0 & 2 \\
0 & 0 \\
0 & 0
\end{bmatrix} \begin{bmatrix}v_1 \\ v_2 \end{bmatrix},
\]

(18)

Assuming that the above poles are identified by (17), the residues shown in the following equation are perturbed in the proposed algorithm:

\[
y = \begin{bmatrix}
i_1 \\
i_2 \\
\vdots \\
i_n
\end{bmatrix} = \begin{bmatrix}
1 & r_{12} & r_{11} & r_{11} \\
r_{21} & r_{22} & r_{22} & r_{22} \\
\vdots & \vdots & \vdots & \vdots \\
r_{n1} & r_{n2} & r_{n2} & r_{n2}
\end{bmatrix} \begin{bmatrix}x_1 \\ x_2 \\
x_3 \\
x_4
\end{bmatrix}
\]

(19)

Consequently, \( \Delta C \) for this example can be represented as

\[
\Delta C = \begin{bmatrix}
\Delta r_{11} & 0 & 0 \\
0 & \Delta r_{22} & 0 \\
\vdots & \vdots & \vdots \\
0 & 0 & \Delta r_{nn}
\end{bmatrix}
\]

(20)

and the corresponding unknown vector \( Q \) in (16) is

\[
Q = [\Delta r_{11} \Delta r_{22} \ldots \Delta r_{nn}]
\]

In case more poles are involved, then \( \Delta C \) will have block diagonal entries for the corresponding poles similar to the one described by (21), and vector \( Q \) is formulated accordingly.

With the residues for perturbation selected as per above guidelines, (16) is solved to offset \(-\Delta \lambda \) (obtained as per the guidelines in Section IV-B), the eigenvalue of \( F(j\omega) \) at the frequency point of maximum violation. This process is continued at the point of maximum passivity violation in each passivity violation regions.

The advantage of the proposed perturbation (of the diagonal elements of \( F(j\omega) \)) is that it always adds positively to the eigenvalues of \( F(j\omega) \) and, hence, does not lead to a new passivity violation at any other frequency point. This can be proved using the following two lemmas.

**Lemma 1**: The real part of the frequency response of a pole is linearly proportional to the real part of its residue.

**Proof of Lemma 1**: For the purpose of illustration, consider the response \( H_c(s) \) of a complex pole \(-p' \pm jp''\) with the corresponding residue \( r' \pm jg''\), as follows:

\[
H_c(s) = \frac{r' + jg''}{s + p' - jp''} + \frac{r' - jg''}{s + p' + jp''}.
\]

(22)

Separating (22) into real \((R(s))\) and imaginary \((I(s))\) parts, we get

\[
H_c(s) = \frac{2r'p'(p'^2 + p''^2 + \omega^2) - 2r''p''(p'^2 + p''^2 - \omega^2) + 4gp'^2\omega^2}{(p'^2 + p''^2 - \omega^2)^2 + 4gp'^2\omega^2}.
\]

Real Part \((R(s))\)

\[
+j \frac{(r'p' - r''p'')(4gp'\omega) + 2\omega p'(p'^2 + p''^2 - \omega^2)}{(p'^2 + p''^2 - \omega^2)^2 + 4gp'^2\omega^2}.
\]

Imaginary Part \((I(s))\)

(23)

\[
\Delta C = \begin{bmatrix}
\begin{bmatrix}
0 & 0 & \Delta r_{11} & 0 & \ldots & 0 & 0 & \ldots & 0 & 0 & \ldots & 0 & 0 & \ldots & 0 & 0 & \ldots & 0
\end{bmatrix}^T \\
0 & \Delta r_{22} & 0 & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots
\end{bmatrix}_{m \times 2m}
\]

(21)
From (23), it is evident that the real part of the response of a complex pole is linearly proportional to the real part of its residue. Similarly, it can be easily shown that the above is true for the case of real poles as well.

Lemma 2: If the real part of an $m$-port symmetric admittance matrix (represented by $F(j\omega)$) is perturbed by a diagonal matrix $\Delta F$, where

$$
\Delta F = \begin{bmatrix}
\Delta F_{11}(\omega) & \Delta F_{22}(\omega) \\
\Delta F_{12}(\omega) & \Delta F_{22}(\omega) \\
\vdots & \vdots \\
\Delta F_{m1}(\omega) & \Delta F_{mm}(\omega)
\end{bmatrix},
$$

then the contribution of this perturbation to the eigenvalues of the $F(j\omega)$ is nonnegative throughout the frequency spectrum.

Proof of Lemma 2: Let $y$ and $x$ be the left and right eigenvectors of $F(j\omega)$. Using the eigenvalue perturbation formulas for a real symmetric matrix, we can write the contribution of (24) to an eigenvalue of $F(j\omega)$ as

$$
\Delta \lambda = \frac{\Delta F x^T y - y^T x \Delta F}{y^T x} = \frac{\Delta F_{11}(\omega)x_1^2 + \Delta F_{22}(\omega)x_2^2 + \ldots + \Delta F_{mm}(\omega)x_m^2}{x_1^2 + x_2^2 + \ldots + x_m^2}.
$$

(26)

It is evident from (26) that the contribution of the proposed perturbation (of the diagonal elements of $F(j\omega)$) always adds positively to the eigenvalues of $F(j\omega)$ and, hence, does not lead to the passivity violation at any other frequency point.

2) Error Estimation: As a result of perturbation of the residue matrix $G$ for compensation, there will be some error introduced in the time- and frequency-domain responses. An estimation of this error can be obtained as follows.

Expressing the $L_2$ norm [35] of $\Delta Y(j\omega)$, we have

$$
||\Delta Y||_2^2 = \int_{-\infty}^{\infty} ||\Delta Y(j\omega)||_F^2 d\omega = \int_{-\infty}^{\infty} \sum_{i=1}^{m} \sum_{j=1}^{m} |\Delta Y_{ij}(\omega)|^2 d\omega
$$

$$
= \int_{-\infty}^{\infty} \sum_{i=1}^{m} \sum_{j=1}^{m} |\Delta Y_{ij}(t)|^2 dt = \text{trace}(\Delta C P \Delta C^T)
$$

(27)

where $||\Delta Y(j\omega)||_F$ is the Frobenius norm of $\Delta Y(j\omega)$. $m$ is the number of ports, and $P$ is the controllability Gramian obtained by solving the following Lyapunov equation:

$$
AP + PA^T + BB^T = 0.
$$

(28)

By leaving the matrices $A$ and $B$ unchanged and with matrix $P$ being constant, it is evident from (27) that keeping $||\Delta C|| \ll ||C||$ will keep the error in the time and frequency domain to the minimum.

One of the major advantages of the proposed algorithm compared with the recent technique in [20] is that it provides a guaranteed search direction during passivity enforcement (i.e., passivity enforcement at one region does not lead to passivity violation at other region), thereby enabling faster convergence. Also the proposed algorithm provides additional computational advantages compared with [20], where to determine the passivity violation region as well as to enforce the passivity via perturbation of eigenvalues of the Hamiltonian matrix, the eigenvectors of the Hamiltonian matrix, which is of size $(2 \times \text{Num of Ports} \times \text{Num of Poles})$, were used. On the other hand, the proposed algorithm accomplishes the above using the eigenvectors of the transfer-function matrix, which is of size $(\text{Num of Ports} \times \text{Num of Ports})$, much smaller than that of the Hamiltonian matrix and, hence, leads to additional CPU savings in each iteration.

A summary of the steps involved in the proposed passivity enforcement algorithm is given hereafter in the form of pseudocode.

3) Pseudocode for the Proposed Passivity Compensation Algorithm:

Step 1: Obtain multiport tabulated data up to $f_{\text{max}}$ (maximum frequency of interest).

Step 2: Compute the multiport pole-residue model (1). Obtain the state-space system $(A, B, C, D)$ (2).

Step 3: Construct the Hamiltonian matrix and check its eigenvalue (5). If no imaginary eigenvalues are found, macromodel is passive. Go to End.

else

a) Determine the singular locations of $F(j\omega)$ using Theorem 2 and collect them in a vector $S_a = [\omega_1, \omega_2, \ldots, \omega_T]$ such that $\omega_1 < \omega_2 < \ldots < \omega_T$, where “$T$” is the total number of such entries. Let $\omega_H = \omega_T$.

b) Determine the regions of violation using the following steps as outlined in Section IV-A:

(i) At the frequency corresponding to each of the $k$ entries in the vector $S_a$, evaluate the slope of the eigenvalue of $F(j\omega)$ using (12).

(ii) Count the number of positive and negative slopes starting from $\omega_H$. When the count of positive and negative slopes becomes equal, say at $\omega_k$, then the first region of local passivity violation is established (i.e., the region between $\omega_H$ to $\omega_k$).

(iii) Reset the count of slope to zero and designate $\omega_H = \omega_{k-1}$.
and repeat steps (ii) and (iii) until all entries in the set $S_a$ are exhausted.

c) Determine the exact location of maximum passivity violation in every region of violation using (13).
d) Identify the poles used for compensation using (17) and then select the corresponding residues of driving point admittances (as per the guidelines in Section IV-C) and formulate $\Delta C$.
e) Perform the passivity compensation by computing $\Delta C$ at each point of maximum violation determined in c) using (16). Go to **End**

V. Computational Results

In this section, two examples are presented to demonstrate the efficiency and accuracy of the proposed compensation algorithm.

**Example 1: Three-Port Tabulated Data:** In this example, the proposed compensation algorithm was performed on the tabulated data obtained from a three-port interconnect subnetwork [3] (Fig. 3). The subnetwork was characterized by a set of tabulated data ($Y$-parameters) up to 6 GHz (henceforth referred to as the *original data*). The data was fitted using the algorithm described in [5] (40 complex poles and four real poles were required; all were stable poles and are listed in Table I) and the state-space macromodel was obtained. The macromodel is tested for passivity using Theorem 1 of Section III by solving the Hamiltonian matrix (5). In this case, six imaginary eigenvalues were found, indicating that the macromodel is nonpassive. The details of the eigenvalue spectrum of the Hamiltonian matrix are given in Fig. 4(a). For the purpose of clarity, Fig. 4(b) shows an enlarged view of the eigenvalue spread near the imaginary axis and also shows the exact numerical values of the imaginary eigenvalues. According to Theorem 2 of Section III, these imaginary eigenvalues correspond to the exact locations at which eigenvalues of $\text{Real}(Y(j\omega))$ become zero. Fig. 5 confirms this result, which shows the eigenvalue spectrum of $\text{Real}(Y(j\omega))$.

As seen in this figure, $\text{Real}(Y(j\omega))$ becomes singular at six frequency points, exactly corresponding to the imaginary eigenvalues of the Hamiltonian matrix.

The regions of passivity violation were then determined using the method in Section IV-A. In this example, three regions of passivity violation were found, and they are indicated in both Figs. 5 and 6. In each region, the location of maximum passivity violation was determined using (13) of Section IV-B, and the corresponding details are given in Table II.

With the above information of exact locations of passivity violation, passivity correction was performed using the steps proposed in Section IV-C by perturbing the selected residues of diagonal elements of the admittance matrix. The details of poles and selected residues (before and after perturbation) and the relative norm of the perturbed residue matrix ($||\Delta C||$) are summarized in Table II.

Fig. 6 shows the eigenvalue spectrum of $\text{Real}(Y(j\omega))$ before and after the compensation. As indicated by the dotted line, all violations were corrected by the proposed algorithm. This is also
verified by formulating the Hamiltonian matrix (5) of the compensated macromodel. Figs. 7 and 8 show the comparison between the original data and the response of the proposed passive macromodel, and they match accurately. The MATLAB implementation of the proposed algorithm on a Sun-Blade-100 machine required 6.49 s.

Next, the passive macromodel is linked to HSPICE and a nonlinear transient analysis is performed for an input pulse having a rise and fall time of 0.1 ns and pulsewidth of 5 ns. The result at node $P_1$ is shown in Fig. 9. For validation purposes, the original network (from which the tabulated data was obtained) was also subjected to the transient analysis (using HSPICE) with similar input and terminations, and the results are compared in Fig. 9. As seen, both match accurately.

It is to be noted that, while using the proposed global passivity enforcement algorithm, no additional regions of passivity violation were introduced during the compensation process (since it employs a guaranteed search direction for enforcing passivity). On the other hand, for comparison purposes, when the passivity compensation algorithms of [17] and [21] are used, two new passivity violation regions were introduced while performing the compensation at the above three regions. It is to be noted that this problem could be aggravated in the presence of many regions of passivity violations in the original macromodel and also for macromodels with a large number of ports.

**Example 2: Four-Port Tabulated Data:** In this example, the proposed algorithm was performed on the tabulated $Y$ parameters of a four-port interconnect subnetwork (Fig. 10). The data was fitted using the algorithm described in [5] (20 complex poles and four real poles were required; all were stable poles), and the state-space macromodel was obtained. The macromodel is tested for passivity using Theorem 1 of Section III by solving the Hamiltonian matrix (5). In this case, two imaginary eigenvalues were found, indicating that the macromodel is nonpassive. This is confirmed by the corresponding eigenvalue spectrum of Real$(Y(j\omega))$, which is given in Fig. 11(a).

The regions of passivity violation were then determined using the method in Section IV-A. In this example, one region of passivity violation was found and is indicated in Fig. 11(a). In this region of violation, the location of maximum passivity violation was determined using (13) of Section IV-B, and the corresponding details are given in Table III.

With this information of exact location of passivity violation, passivity correction was performed using the algorithm proposed in Section IV-C by perturbing the selected residues of diagonal
elements of the admittance matrix. Due to the guaranteed search direction for enforcing passivity used in the algorithm, no additional regions of passivity violation were introduced during compensation. The details of the poles whose residues are perturbed and the relative norm of the perturbed residue matrix $\|\Delta C\|$ are summarized in the Table III.

Fig. 11(b) shows the eigenvalue spectrum of $\text{Real}(Y(j\omega))$ before and after the compensation. Figs. 12 and 13 show a sample of comparisons between the original data and the response of the proposed passive macromodel, and they match accurately. Next, a nonlinear transient analysis is performed by replacing the four-port linear network in Fig. 10 with the proposed macromodel for an input pulse having a rise and fall time of 0.1 ns and pulsewidth of 5 ns (using HSPICE). For validation purposes, the original network from which the data was obtained was also subjected to the transient analysis (using HSPICE) with the similar input and terminations, and the corresponding transient results are compared in Fig. 14. As seen, both match accurately.

VI. CONCLUSION

In this paper, an algorithm has been presented for passivity compensation of nonpassive macromodels obtained from
tabulated data. The algorithm presented here is based on a guaranteed search direction for passivity correction and performs compensation without introducing any new regions of passivity violation. This overcomes the major limitation of local passivity enforcing algorithms in literature, which are prone to introduce new regions of passivity violation during the passivity compensation process. The paper also provides an error estimate for the response of the passivity-compensated macromodel. Numerical examples are presented to validate the validity and accuracy of the proposed algorithm.

APPENDIX A

In this appendix, we show the proof of the corollary of Theorem 2: “the Hamiltonian matrix $M$ has an eigenvalue $j\omega_0$, if the real part of the corresponding symmetric admittance matrix, $F(j\omega_0)$ is singular, provided $D + D^T$ is a positive definite matrix.”

Let us start by assuming that $F(j\omega)$ is singular at frequency $\omega_0$. This means that $F(j\omega_0)$ has an eigenvalue that is zero, i.e.,

$$F(j\omega_0)u = 0u$$

(29)

or

$$F(j\omega_0)u = 0$$

(30)
where $\mathbf{u}$ is the eigenvector in the null space of $\mathbf{F}(j\omega_0)$. Noting that $\mathbf{F}(j\omega_0) = \frac{1}{2}(\mathbf{Y}(j\omega_0^+)+\mathbf{Y}(j\omega_0^-))$ and using (3), (30) can be rewritten as

$$
(C(j\omega_0 I - A)^{-1}B + D + B^t(j\omega_0 I - A^t)^{-1}C^t + D^t)\mathbf{u} = 0
$$

(31)

we can write (32) as

$$
(-j\omega_0 I - A^t)^{-1}C^t\mathbf{u} = \mathbf{s}
$$

(34)

or

$$
C(j\omega_0 I - A)^{-1}Bu + B^t(j\omega_0 I - A^t)^{-1}C^t\mathbf{u} = -(D + D^t)\mathbf{u}
$$

(32)

Substituting

$$(j\omega_0 I - A)^{-1}Bu = \mathbf{r}
$$

(33)

$$
-Cr + B^t\mathbf{s} = -(D + D^t)\mathbf{u}
$$

(35)

or

$$
[-C B^t] \begin{bmatrix} \mathbf{r} \\ \mathbf{s} \end{bmatrix} = -(D + D^t)\mathbf{u}
$$

(36)
TABLE III
DETAILS OF PROPOSED PASSIVITY CORRECTION ALGORITHM FOR EXAMPLE 2

<table>
<thead>
<tr>
<th>Region of Violation No.1</th>
</tr>
</thead>
<tbody>
<tr>
<td>( f_t )</td>
</tr>
<tr>
<td>( f_b )</td>
</tr>
<tr>
<td>Location of Maximum Violation</td>
</tr>
<tr>
<td>Maximum Violation (Eigenvalue of ( F(j\omega) ))</td>
</tr>
<tr>
<td>Poles Considered during Compensation</td>
</tr>
<tr>
<td>Residues Prior to Compensation</td>
</tr>
<tr>
<td>( Y_{11} )</td>
</tr>
<tr>
<td>( Y_{22} )</td>
</tr>
<tr>
<td>( Y_{33} )</td>
</tr>
<tr>
<td>( Y_{44} )</td>
</tr>
<tr>
<td>Residues after Compensation</td>
</tr>
<tr>
<td>( Y_{11} )</td>
</tr>
<tr>
<td>( Y_{22} )</td>
</tr>
<tr>
<td>( Y_{33} )</td>
</tr>
<tr>
<td>( Y_{44} )</td>
</tr>
<tr>
<td>( \frac{|\Delta C|}{|C|} )</td>
</tr>
</tbody>
</table>

or

\[
-(D + D')^{-1}[-C B']\begin{bmatrix} r \\ s \end{bmatrix} = u. \quad (37)
\]

Next, using (33) and (34), we get

\[
-Bu = (j\omega_0 I - A)r \quad \quad (38)
\]

and

\[
-C'u = (j\omega_0 I + A')s \quad \quad (39)
\]

respectively. Combining (38) and (39), we get

\[
\begin{bmatrix} -B \\ -C' \end{bmatrix}u = \begin{bmatrix} (j\omega_0 I - A) \\ (j\omega_0 I + A') \end{bmatrix} \begin{bmatrix} r \\ s \end{bmatrix}. \quad (40)
\]

Now, substituting \( u \) from (37) in (40), we get

\[
\begin{bmatrix} B \\ C' \end{bmatrix}(D + D')^{-1}[-C B']\begin{bmatrix} r \\ s \end{bmatrix} = \begin{bmatrix} (j\omega_0 I - A) \\ (j\omega_0 I + A') \end{bmatrix} \begin{bmatrix} r \\ s \end{bmatrix}. \quad (41)
\]
or
\[
\begin{bmatrix}
-B(D+D')^{-1}C & B(D+D')^{-1}B' \\
-C'(D+D')^{-1}C & -A' + C'(D+D')^{-1}B'
\end{bmatrix}
\begin{bmatrix}
r \\
s
\end{bmatrix} = j\omega_0
\begin{bmatrix}
r \\
s
\end{bmatrix}.
\] (43)

Noting that the Hamiltonian matrix $M$ is defined as in (5), we can write (43) as
\[
M
\begin{bmatrix}
r \\
s
\end{bmatrix} = j\omega_0
\begin{bmatrix}
r \\
s
\end{bmatrix}.
\] (44)

It is evident from (44) that $j\omega_0$ is the eigenvalue of Hamiltonian matrix $M$ as defined in (5). Next, correlating this information with the initial assumption we started with, i.e., $F(j\omega)$ is singular at frequency $\omega_0$, we can infer that the frequency point at which $F(j\omega)$ is singular corresponds to the imaginary eigenvalue of the Hamiltonian matrix $M$.

REFERENCES

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